

# 2021 SASB DISCLOSURE

## COMMERCIAL BANK (2018)



SASB CODE	METRIC	REPORTING STATUS	RESPONSE	SOURCE(S)
<b>DATA SECURITY</b>				
FN-CB-230a.1	(1) Number of data breaches, (2) percentage involving personally identifiable information (PII), (3) number of account holders affected	Partially Reporting	Any material cybersecurity incidents would be reported in the 2021 Annual Report.	2021 Annual Report
FN-CB-230a.2	Description of approach to identifying and addressing data security risks	Fully Reporting	Refer to 2021 Annual Report, Item 1. Business, Information Technology Systems sub-section (page 16-17)  Refer to 2021 ESG Report, Corporate Governance, Ethics and Risk Management – Protecting Customers’ Privacy and Fighting Fraud section (page 19-20)	2021 Annual Report  2021 ESG Report
<b>FINANCIAL INCLUSION &amp; CAPACITY BUILDING</b>				
FN-CB-240a.1	(1) Number and (2) amount of loans outstanding qualified to programs designed to promote small business and community development	Fully Reporting	Reported in Call Report for 4Q21 (Schedule RC-C Part II, Items 3 and 4): 1. 1,478 loans 2. \$579 million  Reported in Call Report for 4Q21 (Schedule RC-M, Items 17a and 17b) 1. 557 loans (Paycheck Protection Program) 2. \$157 million  Refer to 2021 ESG Report, Community Reinvestment section for more information on the Company’s small business and community development support (page 14-17)	4Q21 Call Report     2021 ESG Report
FN-CB-240a.2	(1) Number and (2) amount of past due and non-accrual loans qualified to programs designed to promote small business and community development	Fully Reporting	Reported in Call Report for 4Q21 (Schedule RC-N, Item 11) 1. 34 loans 2. \$271 million	4Q21 Call Report
FN-CB-240a.3	Number of no-cost retail checking accounts provided to previously underbanked, or underserved customers	Not Reported	Not previously recorded	—
FN-CB-240a.4	Number of participants in financial literacy initiatives for unbanked, underbanked, or underserved customers	Partially Reporting	Refer to 2021 ESG Report, Community Reinvestment - Employee Volunteerism section for more information on the Company’s volunteerism supporting the delivery of financial education in partnership with community-based organizations (page 17)	2021 ESG Report
<b>INCORPORATION OF ENVIRONMENT, SOCIAL, AND GOVERNANCE FACTORS IN CREDIT ANALYSIS</b>				
FN-CB-410a.1	Commercial and industrial credit exposure, by industry	Fully Reporting	Refer to 2021 Annual Report, Item 7. Management’s Discussion and Analysis of Financial Condition and Results, Balance Sheet Analysis – Loans and Leases. Disclosure of commercial loans and leases by industry is presented in the table “Loans and Leases Held for Investment” (page 68)  Refer to the Fourth Quarter and Full Year 2021 Results Investor Presentation Form 8-k, filed February 14, 2022 (page 11-18)	2021 Annual Report  4Q21 Investor Presentation

FN-CB-410a.2	Description of approach to incorporation of environmental, social, and governance (ESG) factors in credit analysis	Not Reported	Not previously reported.	
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## BUSINESS ETHICS

FN-CB-510a.1	Total amount of monetary losses as a result of legal proceedings associated with fraud, insider trading, anti-trust, anti-competitive behavior, market manipulation, malpractice, or other related financial industry laws or regulations	Fully Reporting	Refer to 2021 Annual Report, Item 8. Financial Statements and Supplementary Data – Notes to the Financial Statements, Note 14. Commitments and Contingencies (page 152-153) for disclosure of material legal proceedings	2021 Annual Report
FN-CB-510a.2	Description of whistleblower policies and procedures	Fully Reporting	Refer to section “Administration and Compliance Standards” in the <a href="#">Code of Business Conduct and Ethics</a> on the Company’s website.  Refer to 2021 ESG Report – Corporate Governance, Ethics and Risk Management, Ethics and Whistleblower Complaints (page 18)	PacWest Bancorp Code of Business Conduct and Ethics  2021 ESG Report

## SYSTEMIC RISK MANAGEMENT

FN-CB-550a.1	Global Systemically Important Bank (G-SIB) score, by category	Fully Reporting	Refer to <a href="#">2017 Company-Run Stress Test Disclosure previously required under the Dodd-Frank Act</a> on the Company’s website	2017 Company-Run Dodd-Frank Stress Test disclosure
FN-CB-550a.2	Description of approach to incorporation of results of mandatory and voluntary stress tests into capital adequacy planning, long-term corporate strategy, and other business activities	Fully Reporting	Refer to 2021 Annual Report, Item 1. Business – Supervision and Regulation, Stress Testing (page 24)	2021 Annual Report

## ACTIVITY METRICS

FN-CB-000.A	(1) number and (2) value of checking and savings accounts by segment: (a) personal and (b) small business	Partially Reporting	Refer to 2021 Annual Report, Item 7. Management’s Discussion and Analysis of Financial Condition and Results, Balance Sheet Analysis – Deposits & Client Investment Funds (page 79-80)	2021 Annual Report
FN-CB-000.B	(1) Number and (2) value of loans by segment: (a) personal, (b) small business, and (c) corporate	Partially Reporting	Refer to 2021 Annual Report, Item 7. Management’s Discussion and Analysis of Financial Condition and Results, Balance Sheet Analysis – Loans and Leases (page 68-72)	2021 Annual Report

## EMPLOYEE DIVERSITY AND INCLUSION

FN-AC-330.a.1	1) Percentage of gender and racial/ethnic group representation for executive management, (2) Percentage of gender and racial/ethnic group representation for non-executive management, (3) Percentage of gender and racial/ethnic group representation for professionals, (4) Percentage of gender and racial/ethnic group representation for all other employees	Partially Reporting	Refer to 2021 Annual Report, Item 1. Business, Human Capital Management sub-section (Page 18-20)  Refer to 2022 Proxy Statement – Corporate Governance Matters: ESG Matters (page 23-25)  Refer to 2021 ESG Report – Social Responsibility: Diversity, Equity and Inclusion - PWB’s Gender and Racial Diversity At a Glance (page 6)	2021 Annual Report  2022 Proxy Statement  2021 ESG Report
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